

To transform is to understand:  
an experiment of building an interactive  
regular language converter

---

Antonina Nepeivoda

*STEP, Innopolis, March 8th*  
Program Systems Institute of RAS

---



## Background (BMSTU, IU9)

- Formal Language theory course, 5th semester, 3 to 4 lectures on regular languages.
- The students have elementary theoretical background of the automata, and most of them use regexes in the programming practice.
- The basic course is too repetitive; the abstract algebraic course is too challenging.
- Intention: to make theoretical concepts more tangible by using an interactive regular language converter.



# Two approaches to automata theory

## Classical Approach

- Base: DFA representation
- Study of the classical FA transformations (e.g., determinization, minimization...)
- Focus on parsing and algorithms

## Modern Approach

- Base: algebraic structures (equivalence classes, monoids...)
- Study of the uniform and specific properties of different language representations
- Focus on generic analysis techniques rather than algorithms (e.g., simulation, closure, rewriting)



# Example: construction

# Unified

# Glushkov

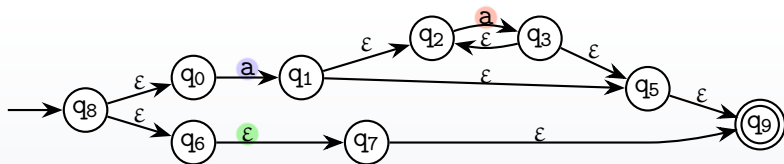
(C.Allauzen, M.Mohri: Math. Found. of Comp. Sci. 2006)

Aims at unifying several NFA constructions using closure+rewriting approaches over the classical Thompson automaton.

## Thompson automaton

Stepwise construction from the subregexes.

Running example: regex  $aa^* | \epsilon$





## Example: construction

## Unified

## Glushkov

(C.Allauzen, M.Mohri: Math. Found. of Comp. Sci. 2006)

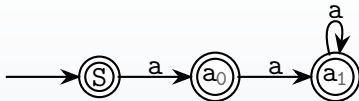
Aims at unifying several NFA constructions using closure+rewriting approaches over the classical Thompson automaton.

### Glushkov automaton

Making use of Follow-relation. The NFA states correspond to the letters in the linearized regex.

The linearized regex:  $a_0 a_1^* \mid \varepsilon$

The Follow set:  $\{(a_0, a_1) (a_1, a_1)\}$





## Example: construction

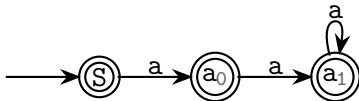
## Unified

## Glushkov

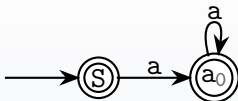
(C.Allauzen, M.Mohri: Math. Found. of Comp. Sci. 2006)

Aims at unifying several NFA constructions using closure+rewriting approaches over the classical Thompson automaton.

### Glushkov automaton



Merging the Follow-equivalent states results in so-called Follow automaton:





## Example: construction

## Unified

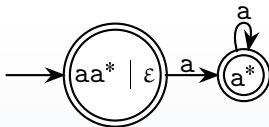
## Glushkov

(C.Allauzen, M.Mohri: Math. Found. of Comp. Sci. 2006)

Aims at unifying several NFA constructions using closure+rewriting approaches over the classical Thompson automaton.

### Antimirov automaton

Uses partial derivatives as states.





## Example: construction

Unified

Glushkov

(C.Allauzen, M.Mohri: Math. Found. of Comp. Sci. 2006)

Aims at unifying several NFA constructions using closure+rewriting approaches over the classical Thompson automaton.

### Unified construction

All the three automata can be constructed from Thompson using the following basis:

- merging language-equivalent classes (minimisation);
- merging epsilon-equivalent classes (epsilon closure);
- annotation and linearization (together with the reverse operations).





## Main idea

### WANTED

A converter of the regular languages representations that is:

- **Generic:** support a larger class of operations;
- **Trackable:** add more visual information and logs to help a user to track transformations;
- **Experiment friendly:** add possibility to automatically generate counterexamples by the random search (i.e. to verify statements experimentally).

(additionally: encourage students to practice in collaborative projects)

Three student groups: PYTHON, C++, LUA.



## Main idea

### WANTED

A converter of the regular languages representations that is:

- **Generic:** support a larger class of operations;
- **Trackable:** add more visual information and logs to help a user to track transformations;
- **Experiment friendly:** add possibility to automatically generate counterexamples by the random search (i.e. to verify statements experimentally).

(additionally: encourage students to practice in collaborative projects)

*What about the existing solutions? (still, not claiming to be exhaustive)*



## Python frameworks

### AUTOMATA library:

- Boolean operations on automata, equivalence and subset relations, parsing features, some basic automata generators, classical FA transformations (minimization, determinization), to-regex transformation.
- Plain GRAPHVIZ visualization.
- Not hard to implement testing feature, provided a random FA generator with the same API.

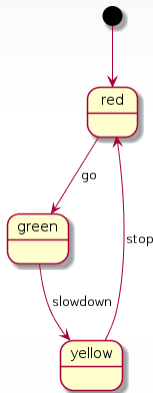




## Python frameworks

### AUTOMATON API:

- High-level API for designing and supporting automata structures. Supports for modifying machines and runner classes.
- Customized GRAPHVIZ and PLANTUML visualization supported.
- Nice library as a starting point.



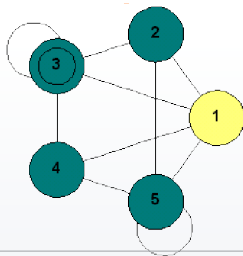
(still, the PYTHON implementation group failed; and the most successful and enthusiastic was the C++ group)



## Automata library in Wolfram

MATHEMATICA notebook ALGORITHMS ON FINITE AUTOMATA:

- More algebraic-fashioned library: supports finding equivalence relations, equation systems, together with the traditional FA machinery.
- Graph visualisation is poor (was designed more than 20 years ago); but supports other discrete structures (matrices, systems) in nice  $\text{\LaTeX}$ -grained format.





# C++ converters

*(nothing is new in the Universe)*

- *Grail* project, implementing all the classical FA operations (in 1992)...
- ...and other, more modern, projects (with GRAPHVIZ support) doing almost the same that was done 30+ years ago :(

Table 1. *Grail* filters

|                   |   |
|-------------------|---|
| <i>fmcomt</i>     | complement a machine                                      |
| <i>fmcomp</i>     | complete a machine  |
| <i>fmcat</i>      | catenate two machines                                     |
| <i>fmcross</i>    | cross product of two machines                             |
| <i>fmenum</i>     | enumerate strings in the language of a machine            |
| <i>fmexec</i>     | execute a machine on a given string                       |
| <i>fmmin</i>      | minimize a machine by Hopcroft's method                   |
| <i>fmminrev</i>   | minimize a machine by reversal                            |
| <i>fmplus</i>     | plus of a machine   |
| <i>fmreach</i>    | reduce a machine to reachable submachine                  |
| <i>fmrenum</i>    | canonical renumbering of a machine                        |
| <i>fmreverse</i>  | reverse a machine   |
| <i>fmstar</i>     | star of a machine   |
| <i>fmtoe</i>      | convert a machine into a regular expression               |
| <i>fmunion</i>    | union of two machines                                     |
| <i>fmndeterm</i>  | convert an NFA into a DFA by subset construction          |
| <i>iscomp</i>     | test a machine for completeness                           |
| <i>isdeterm</i>   | test a machine for determinism                            |
| <i>isomorph</i>   | test two machines for isomorphism                         |
| <i>isuniv</i>     | test a machine for universality                           |
| <i>isempty</i>    | test a regular expression for equivalence to empty set    |
| <i>isnil</i>      | test a regular expression for equivalence to empty string |
| <i>rcat</i>       | catenate two regular expressions                          |
| <i>remin</i>      | minimal bracketing of a regular expression                |
| <i>restar</i>     | star of a regular expression                              |
| <i>retofn</i>     | convert a regular expression into a machine               |
| <i>reunion</i>    | union of two regular expressions                          |
| <i>zfmcat</i>     | catenate two extended machines                            |
| <i>zfmplus</i>    | plus of an extended machine                               |
| <i>zfmreach</i>   | reduce an extended machine to reachable submachine        |
| <i>zfmreverse</i> | reverse an extended machine                               |
| <i>zfmstar</i>    | star of an extended machine                               |
| <i>zfmtoe</i>     | convert an extended machine into a regular expression     |
| <i>zfmunion</i>   | union of two extended machines                            |



## Overall design

- Main classes: finite automata, regular expressions, regular grammars, transformation monoids.
- *Type* support: the operations can be chained by a user, and the inconsistent chains execution is blocked by the typechecker.
- The generic Language class, to cache unique *language* properties (minimal DFA, syntactic monoid, pump length).
- Input: a simple program consisting of function chains and assignments.
- Output: a  $\text{\LaTeX}$ (`BEAMER`) source file with the stepwise logs of the transformations; the graphs are processed with `DOT2TEX` utility and then modified in `TIKZ` vector graphics format.



## Some supported functions

### Representation changing

Thompson: Regex -> NFA

IlieYu: Regex -> NFA

Antimirov: Regex -> NFA

Arden: NFA -> Regex

Glushkov: Regex -> NFA

### Representation preserving

Determinize: NFA -> DFA

RemEps: NFA -> NFA

Linearize: Regex -> Regex

Minimize: NFA -> DFA

Reverse: NFA -> NFA

Annote: NFA -> DFA

DeLinearize: NFA ->NFA

DeLinearize: Regex -> Regex

Complement: DFA -> DFA

DeAnnote: NFA -> NFA

DeAnnote: Regex -> Regex

MergeBisim: NFA -> NFA

### Many-Sorted functions

PumpLength: Regex -> Int

ClassLength: DFA -> Int

Normalize: (Regex,Array) -> Regex

States: NFA -> Int

ClassCard: DFA -> Int

Ambiguity: NFA -> Value

GlaisterShallit: NFA ->

MyhillNerode: DFA -> Int

### Predicates

Bisimilar: (NFA,NFA) -> t/f

Minimal: DFA -> t/f

Subset: (Regex,Regex) -> t/f

Equiv: (NFA,NFA) -> t/f

Minimal: NFA -> t/f/u

Subset: (NFA, NFA) -> t/f

Equal: (NFA,NFA) -> t/f

SemDet: NFA -> t/f

Equiv: (Regex,Regex) ->

### Special forms

Test: (NFA|Regex, Regex,Int) -> IO

Verify: (Predicate,Int) -> Bool





## Some project details

### Input Example

```
Verify (Equal (DeLinearize (Minimize.Thompson.Linearize *)) (IlieYu *))  
R1 = SemDet.RemEps.Thompson {(aa*|)}  
R4 = Determinize.Reverse.Determinize.Reverse.Thompson {(aa*|)}  
R5 = MergeBisim.Antimirov {(aa*|)}  
R6 = RemEps.DeAnnote.Minimize.RemEps.Annote.Thompson {(aa*|)}  
Test (Thompson {(a*)*}) {a*b} 10
```

### Frontend

- C++ string processing is pain (project members almost gave up on this point).
- The rendering phase is done in a string processing functional language REFAL (tiny interpreter + rapid and natural string processing features development in terms of generic patterns).
- The logs replace the placeholders in the logger patterns designed in  $\text{\LaTeX}$  with the meta-variables in comments.

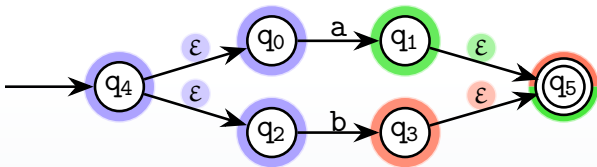


## Unified Glushkov: $\epsilon$ -removal paradox

**First candidate:**  $(\text{Equal}(\text{RemEps}.\text{Thompson } \star)(\text{Glushkov } \star))$

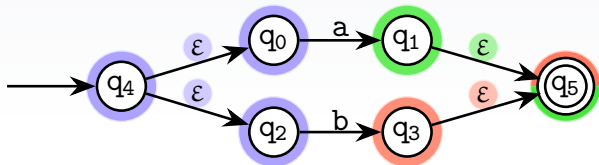
The hypothesis failed almost for all random regexes!

Let us consider a simple  $\epsilon$ -NFA and compute its  $\epsilon$ -closures.

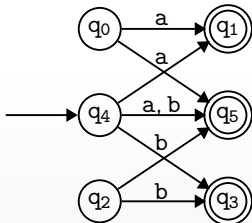




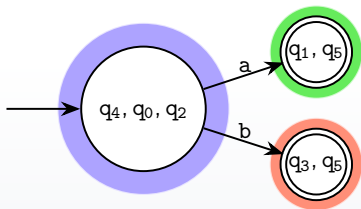
# Unified Glushkov: $\epsilon$ -removal paradox



Classical algorithm changing only transitions in  $\epsilon$ -closures:



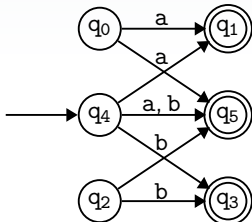
Algorithm merging  $\epsilon$ -closures in the new classes:



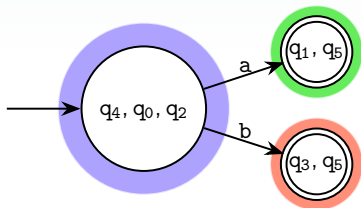


## Unified Glushkov: $\epsilon$ -removal paradox

Classical algorithm changing only transitions in  $\epsilon$ -closures:



Algorithm merging  $\epsilon$ -closures in the new classes:



The algorithm described in the paper:

*Epsilon-removal.* The general  $\epsilon$ -removal algorithm of [18] consists of first computing the  $\epsilon$ -closure of each state  $p$  in  $A$ ,

$$\text{closure}(p) = \{(q, w) : w = d_\epsilon[p, q] = \bigoplus_{\pi \in P(p, q), i[\pi] = \epsilon} w[\pi] \neq \bar{0}\}, \quad (1)$$

and then, for each state  $p$ , of deleting all the outgoing  $\epsilon$ -transitions of  $p$ , and adding out of  $p$  all the non- $\epsilon$  transitions leaving each state  $q \in \text{closure}(p)$  with their weight pre- $\otimes$ -multiplied by  $d_\epsilon[p, q]$ .



## Paradox solution

*Do not believe if they say it is elementary and everyone knows it...*

- Despite the paper describes the usual epsilon-removal algorithm, it uses the closure epsilon-removal algorithm instead (which is of no means so well-known).
- The algorithm used by the authors is stronger and results in smaller automata. Using this algorithm, the hypothesis holds.



# Symmetry and Brzowski minimization

**Second candidate:**

```
(Equal(Determinize.Reverse.Determinize.Reverse.Thompson ★)
(Minimize.Thompson ★))
```

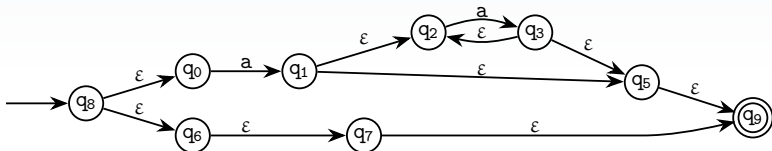
Fails in  $\approx 20\%$  cases. Recall the running example:  $aa^* \mid \epsilon$ . The regex defines the language  $a^*$ , thus its minDFA consists of a single state.

Let us track the transformations given above for it.

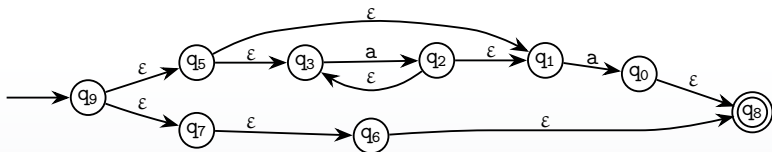


## Reverse :: NFA $\rightarrow$ NFA

The initial automaton:



The resulting automaton:

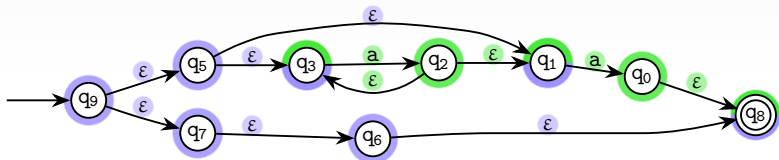


This reversal only switches arrow directions and initial and final states, because the set of these have cardinality 1.

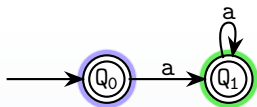


# Determinize :: NFA $\rightarrow$ DFA

The initial automaton:



The resulting automaton:



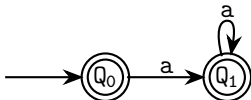
Everything goes well at this point.



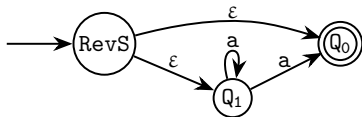


# Reverse :: NFA $\rightarrow$ NFA

The initial automaton:



The resulting automaton:

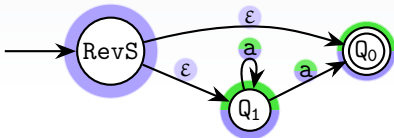


The reverse operation is forced to add the new initial state, since there are multiple final states in the given automaton.

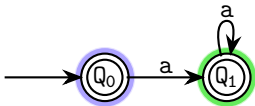


## Determinize :: NFA $\rightarrow$ DFA

The initial automaton:



The resulting automaton:



And now the subset construction cannot merge this initial state with anything else, so two states are produced instead of a single one. Additional merging by bisimilarity is needed to model the effect of considering multiple starting states.



## Mystery solution

*Practice imposes some constraints on theory...*

- Brzozowski considered two completely symmetric structures: algebra and coalgebra. Thus, multiple initial states are allowed in his construction (and then everything works).
- Real-life FA have a single initial state, so additional merging by bisimilarity is required to achieve the 100% verification result.



## Normalization magic

### Third candidate

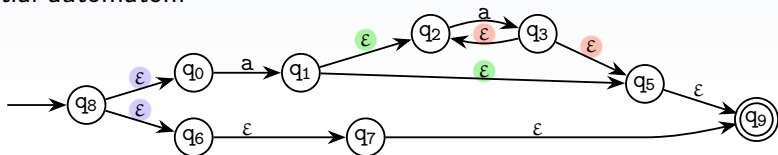
`(Equal(RemEps.DeAnnote.Minimize.RemEps.Annote.Thompson ★)(Antimirov ★))`

Fails in  $\approx 20\%$  cases... All the operations are canonical, RemEps is adequate.

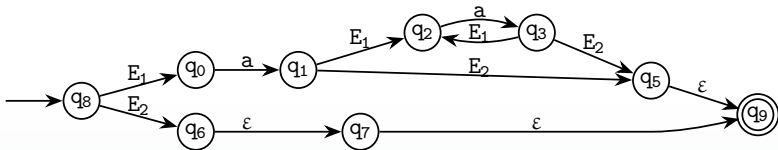
Observation: all the counterexamples contain either  $(w^*)^*$  or  $(\epsilon \mid w(w)^*)$  subexpression.

Annote :: NFA  $\rightarrow$  DFA

Initial automaton:



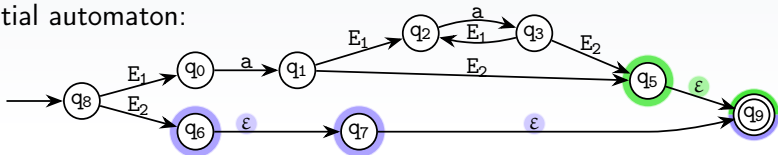
Determinized automaton:



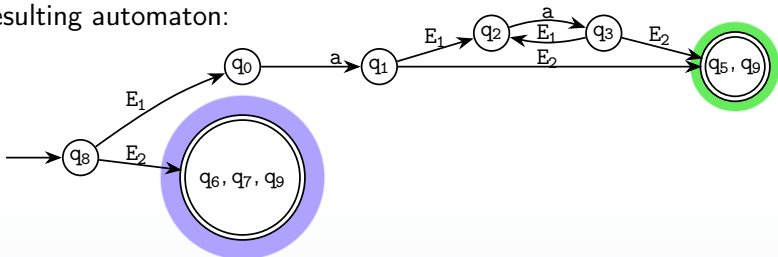
In the case of Thompson automaton, it is sufficient to enrich alphabet only by the two annotated epsilon symbols  $E_1$ ,  $E_2$ , since there are at most two non-deterministic transitions from any state.

RemEps :: NFA  $\rightarrow$  NFA

Initial automaton:



Resulting automaton:



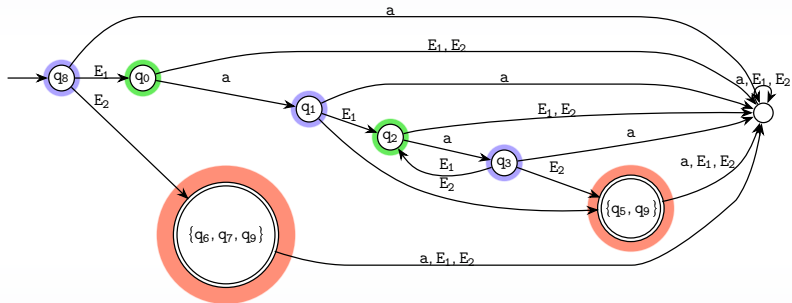
The remaining  $\epsilon$ -transitions are removed by the closure. It would be done by minimisation as well, but we are trying to follow the given sequence (suggested also for weighted FA) precisely.



Minimize

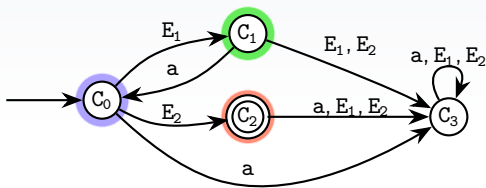
Minimize :: NFA  $\rightarrow$  DFA

Initial automaton:



Alphabet is no more only a's, now it is  $\{a, E_1, E_2\}$ . So the trap state is added at this point to visualise the remaining possible transitions.

Resulting automaton:



Equivalence classes:

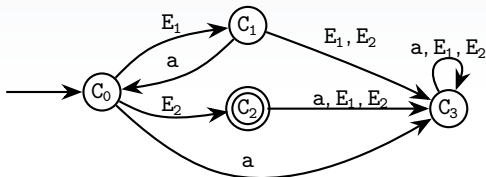
$$C_0 = \{q_8, q_1, q_3\}; \quad C_1 = \{q_0, q_2\}; \quad C_2 = \{\{q_6, q_7, q_9\}, \{q_5, q_9\}\};$$
$$C_3 = \{\};$$

The trap state is shown also in the minimal automaton.

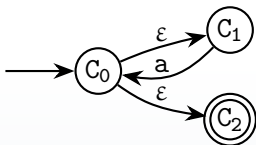


DeAnnotate :: NFA  $\rightarrow$  NFA

Initial automaton:

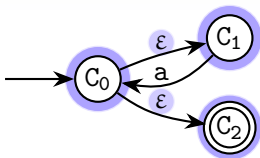


Resulting automaton:

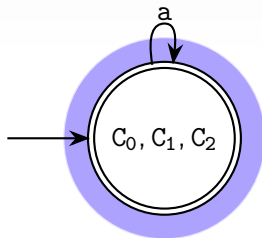
Deannotation collapses alphabet to  $\{a\}$ .

RemEps :: NFA  $\rightarrow$  NFA

Initial automaton:



Resulting automaton:

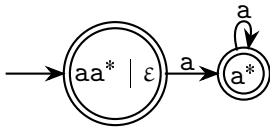


The given automaton is minimal, so it is expected that the Antimirov automaton has the single state either.

Antimirov :: Regex  $\rightarrow$  NFA

Regular expression:  $aa^* \mid \epsilon$

Resulting automaton:



Partial derivatives:

$$\delta_a(aa^* \mid \epsilon) = a^*$$

$$\delta_a(a^*) = a^*$$

No mistake: there are two states, not the single one...



## Paradox solution

*Always check the data set first...*

- The initial regular expressions are normalized (the author mentions only distributivity, but it seems they used the normal form, e.g. simplifying  $(w^*)^*$  to  $w^*$ ).
- Slightly desorienting assumption, since the main advantage of the Antimirov derivatives (versus Brzozowski's) is their robustness without simplifications.



## WIWtK starting a collaborative student project

- Give preferences to the languages everyone knows not quite well.
- Choose a project leader basing on stability, not on enthusiasm.
- Testing is crucial: make the testing engine first (and do not rely on the code reviews too much).
- Force the students to release the project before the exam!



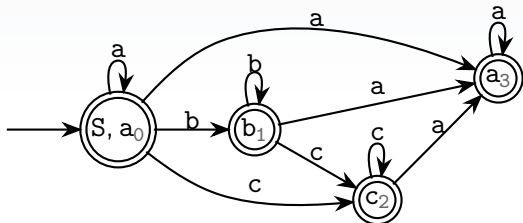
**That's all!**

# Thank you for your attention!

*And infinitely many thanks to the students who made it possible: A. Delman, D. Knyazihin, A. Terentyeva, K. Shevchenko, M. Teriykha, A. Ilyin, A. Chibizova, and V. Lysenko for the slave labor of doing the log templates.*



## Weaker one beats stronger one: Glaister–Shallit paradox



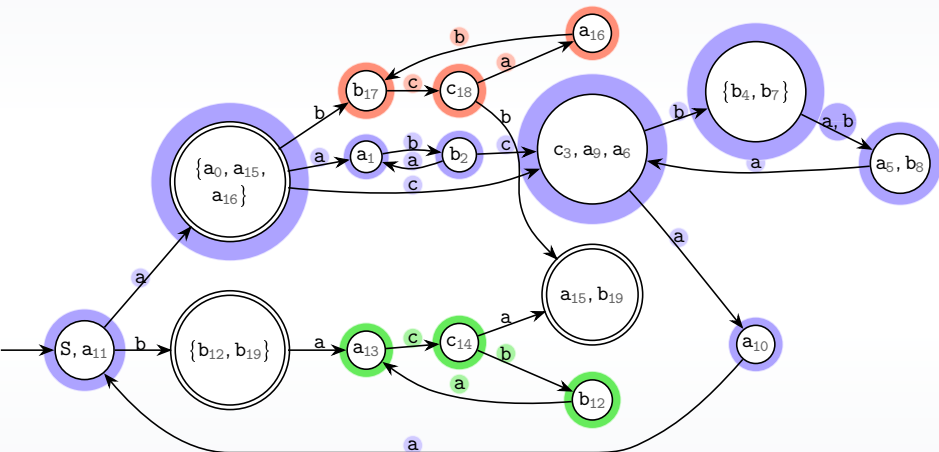
Equivalence classes and distinguishing suffixes:

|            | ab | $\epsilon$ | b | c |
|------------|----|------------|---|---|
| $\epsilon$ | 1  | 1          | 1 | 1 |
| ba         | 0  | 1          | 0 | 0 |
| b          | 0  | 1          | 1 | 1 |
| c          | 0  | 1          | 0 | 1 |

Lower bound on the states in NFA: 4



# Orbits and ambiguity

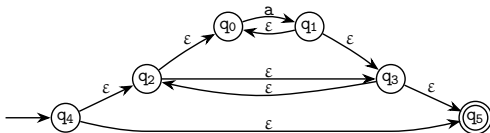






# Modelling ReDoS

The parse automaton:



Regex for testing:  $a^*b$ .

|    | Length | Parse Time | Result |
|----|--------|------------|--------|
| 1  | 1      | 0.000000   | false  |
| 2  | 51     | 0.015000   | false  |
| 3  | 101    | 0.024000   | false  |
| 4  | 151    | 0.042000   | false  |
| 5  | 201    | 0.048000   | false  |
| 6  | 251    | 0.060000   | false  |
| 7  | 301    | 0.082000   | false  |
| 8  | 351    | 0.089000   | false  |
| 9  | 401    | 0.103000   | false  |
| 10 | 451    | 0.103000   | false  |
| 11 | 501    | 0.116000   | false  |
| 12 | 551    | 0.122000   | false  |
| 13 | 601    | 0.141000   | false  |